# **PharmaBlock**

## PharmaBlock Sciences (Nanjing), Inc. Responsible Marketing Policy

## Purpose

PharmaBlock Sciences (Nanjing), Inc (hereinafter referred to as "PharmaBlock", "the Company" or "We") has implemented the *Responsible Marketing Policy* to foster sustainable operation and growth. This Policy ensures adherence to legal regulations and business ethics in all communication, promotion, and marketing of products and services. By doing so, it demonstrates the Company's commitment to social responsibility. This approach not only safeguards the Company's reputation and brand image, but also fosters trust and beneficial relationships with stakeholders, thereby laying a firm foundation for the Company's long-term development.

## **Applicable Scope**

This Policy applies to PharmaBlock and its subsidiaries and affiliates worldwide.

### **Our Management Principle**

#### **Standards and Requirements for Information Disclosure**

All marketing activities conducted by the Company, in any form or content, must comply with applicable laws, regulations, and industry standards where it operates. This adherence ensures there is no infringement or violation of several regulations including *the Federal Trade Commission Act, Honest Ads Act, the EU General Data Protection Regulation, the UK General Data Protection Regulation, the Advertisement Law of the People's Republic of China, and the Securities Law of the People's Republic of China, among others.* 

The Company has established various marketing and sales-related systems, including *the Brand Building and Communication Management System*, *Market Promotion Management Procedures*, and *Industry Conference Organization Management Procedures*. These systems stipulate that:

- All marketing content must be approved as necessary.
- Disseminated information must be truthful and accurate, and there shouldn't be false or misleading statements about the Company's and competitor companies' products, services, performance, or track record.
- Employees cannot represent the Company in media interviews or make public speeches without authorization.
- Images, portraits, music, videos, fonts, and other materials in external communication content must be authorized.
- Customer confidential information, data, and privacy cannot be disclosed without the knowledge and authorization of customers.

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### **Environmental Protection and Social Responsibility**

The Company actively assumes environmental protection and corporate social responsibility by:

- Disclosing the goals and practices of safety, environmental management, and corporate social responsibility to the public, showing transparency and commitment to sustainable development.
- Actively promoting environmental protection concepts, disseminating environmental protection knowledge through various channels and platforms.
- Advocating environmental protection practices in its operations, committing to reduce the use of paper media to lessen the impact on the environment. The Company is also exploring more environmentally friendly production methods and marketing strategies.

### **Employee Training**

The Company provides regular responsible marketing training for employees. This includes regular participation in "Responsible Marketing" training for marketing-related employees, and occasional invitation of internal and external experts to conduct training in the Company.

### **Channels for Reporting Violations**

The Company encourages employees to adhere to this Policy. Whether an employee, customer, supplier, or other third party, any behavior suspected of violating laws, regulations, or Company policies can be reported. For all reported misconduct, the Company will conduct serious investigations and take necessary rectification measures.

### **Our Governance**

PharmaBlock has established a management structure led by the Board of Directors, guided by the ESG Executive Committee, and executed by the ESG Task Force and relevant business departments. Additionally, each site has established its own ESG Task Force to ensure the implementation of responsible marketing policies. We have integrated the *Responsible Marketing Policy* into the Company's internal management standards and guidelines, and regularly supervise and review to ensure that relevant policies and management systems are implemented and monitored.

## **Supplementary Provisions**

This Policy is formulated and revised by the Company's ESG Executive Committee. It takes effect from January 2024.